

09/581005

52 Rec'd PCT/PTO 06 JUN 2000
PCT/EP98/08096

WO 99/29884

51 PRTS

TGC METHOD FOR INDUCING TARGETED SOMATIC TRANSGENESIS

5

The object of the invention is a method for inducing targeted somatic transgenesis (TGC = targeted genetic conditioning), which is used for expressing foreign proteins in cells, tissue, organ or an entire host organism, as well as for somatic gene therapy.

10 BACKGROUND OF THE INVENTION

It is known that proteins for technical application or for therapeutic purposes can be expressed in sufficient quantity by the transfer of genes in microorganisms or mammalian cells. These procedures are particularly important for proteins occurring naturally in the body, such as hormones, regulatory factors, enzymes, enzyme inhibitors and humanized monoclonal antibodies which are otherwise only available to a limited extent or not available at all. The procedures are also important for producing surface proteins of pathogenic microorganisms or viral envelope proteins so as to safely produce diagnostic tests and for the development of efficacious vaccines. Through protein engineering it is also possible to produce new types of proteins, which through fusion, mutation or deletion of the corresponding DNA sequences, have properties optimized for particular uses, for example immunotoxins.

30 Genes obtained from human cells are also functional in mouse, rat or sheep cells and there lead to the formation of corresponding gene products. This has already been made use of in the production of therapeutic products, for example in the milk of transgenic farm animals. The hitherto known method has been by the microinjection of corresponding foreign DNA carrying vectors into the nucleus of the fertilized egg cell, in which the DNA is then incorporated into the chromosome with a yield of 1 %. The transgenic fertilized egg cell is then transplanted into hormonally stimulated mother animals. An offspring carrying

DISCLOSURE STATEMENT

5 the transfected gene in all its body cells is the basis for
the creation of a "transgenic herd/flock". Using gene
technology it is now possible to alter farm animals in such
a targeted way that they produce human proteins in their
blood, tissue or milk, which cannot be produced by
10 microorganisms or plants.

However, the use of transgenic animals as protein
15 production factories has the decisive disadvantage that it
is necessary to manipulate the germ line of the animal. Due
to the considerable expenditure of technology and time
required to create and breed transgenic animals and also
due to the discussions regarding the ethical consequences
of these methods, alternative methods for producing
proteins in animal hosts without manipulation of the germ
20 line are necessary and would be very advantageous.

It is known, furthermore, that the milk of mammals such as
cows, sheep, goats, horses or pigs can contain a range of
disease-causing bacterial agents. Among such agents are
25 Listeria, Mycobacteria, Brucella, Rhodococcus, Salmonella,
Shigella, Escherichia, Aeromonads and Yersinia or general
bacteria with intracellular lifestyle [1, 2]. These
bacteria are usually transmitted to humans or animals
through oral ingestion [3], but can also be transmitted by
30 droplet infection. A major source for the infection of
humans with Listeria [4], Mycobacteria [5] and Escherichia
coli is contaminated milk [6]. Humans ingest the bacteria
when consuming unpasteurised milk or milk products. The
other bacteria types listed above, such as Salmonella,
35 Shigella, Yersinia, Rhodococcus and Brucella are
transmitted to humans in a similar way. However, bacteria
may also enter humans through other bacterially infected
animal products from cows, goats, sheep, hares, horses,
pigs or poultry.

5 The infection of animals frequently occurs through mucosal surfaces and very frequently through the digestive tract. However, after ingestion of bacteria, for example in the case of Listeria, not all tissues show symptoms of infection. In cows and goats the infection is mainly
10 evident in the udder, spleen and liver. In sheep there may additionally be illness in the central nervous system in the form of meningitis, so not all animals survive the infection. With infection of the udder, the infection chain is closed. With contaminated milk, acquired bacteria can
15 reinfect another animal, for example a suckling calf or a human via the digestive tract.

The following is known at present regarding the process of bacterial infection in humans, here presented using the example of Listeria:

25 Of the six known Listeria species, only *L.monocytogenes* and *L.ivanovii* are pathogenic for humans [7]. Illness in humans results from consuming infected milk or milk products. The course of the illness depends on the state of health of the individual and is generally inapparent. Intrauterine transmission of bacteria to the fetus may occur during pregnancy, resulting in abortion, stillbirth or premature birth. In all cases excellent and problem-free treatment exists using antibiotics such as ampicillin or erythromycin [8; 8a].

35 The mode of entry into the cell occurs is well defined for *L.monocytogenes* in humans and animals and for *L.ivanovii* in sheep. For full pathogenicity of *Listeria* to occur, a range of pathogenicity factors are necessary. Among them are PrfA (positive regulator of virulence), ActA (actin nucleating protein), PlcA (phosphatidylinositol-specific phospholipase), PlcB (phosphatidylcholine-specific phospholipase), Hly (listeriolysin), Mpl (metalloprotease) [9]. The cell specificity of the pathogen - host cell

5 interaction is mediated through a range of proteins. Among
these are the internalins InlA and InlB, which are involved
in the initial contact and the interaction of bacteria and
cell surface [10, 11]. Under experimental conditions
10 *L.monocytogenes* can also infect endothelial cells,
epithelial cells, fibroblasts and hepatocytes. In addition,
L.monocytogenes can infect cells of the white blood cell
count like neutrophilic granulocytes, macrophages and
lymphocytes. This is a significant factor in the
transmission of bacteria from the site of primary infection
15 to the target organ in the host. Finally, lung tissue can
also be infected by *Listeria* if the bacteria are applied as
a droplet infection.

After adhering to the cell surface, *L.monocytogenes* is
20 taken up by the cell by endocytosis, the bacterium breaks
down the endosome membrane under the effect of
listeriolysin (Hly) and is thus released into the cell
cytosol [14]. Once inside the cell, the bacteria can
proliferate. With the production of further proteins, the
25 fully pathogenic bacteria does not stay localized but
actively spreads to distal sites. Bacterial spread is
effected by using a range of proteins from *L.monocytogenes*
itself and some cellular proteins [15, 16]. ActA is
expressed on the cell surface of *L.monocytogenes*. It binds
30 the cellular protein VASP, which for its part forms the
bridge required for the attachment of cellular actin. Actin
tails subsequently develop, which carry the bacterium at
their tip and thus move it further through the cell. If
L.monocytogenes contacts the cell membrane, a membrane
35 protrusion forms, which projects directly into any adjacent
cells if they are present. This protrusion is then
endocytosed by the adjacent cell so the *L.monocytogenes* is
then inside the new cell within a double membrane. The two
membranes are dissolved under the effect of Hly and PlcB
40 [17]. At the end of this process *L.monocytogenes* has also
infected the neighbouring cell and the infection process

5 begins again. In this way *L.monocytogenes* enters, for example, secretory cells of the cow udder. Secreted Listeria proteins are detectable in milk, i.e. they are passed on intracellularly from the lactating cell into the milk [18]. Hly (listeriolysin) and IrpA (internalin related 10 protein [19]) are two pathogenicity factors belonging to this group of proteins which are produced, secreted and passed out in milk in large quantities by *L.monocytogenes* [20].

15 Knowledge of the infection process has made it possible to alter *L.monocytogenes* genetically in such a way that it expresses foreign proteins. Examples for the expression of foreign proteins in *L.monocytogenes* are: alkaline phosphatase from *Escherichia coli*, nucleoprotein from 20 influenza virus, major capsid protein (L1) from cottontail rabbit papillomavirus (CRPV) and Gag protein from HIV type 1 [20 to 27].

25 In addition to proteins of prokaryotic origin, this also applies to viral proteins which are not normally produced within eukaryotic cells. These viral proteins and similar foreign proteins of prokaryotic and eukaryotic origin can be produced by *L.monocytogenes* without a eukaryotic cell being needed. Proteins produced by *L.monocytogenes* are 30 secreted into the milk.

35 Infection by bacteria occurs through specific interactions of ligand proteins of the bacteria with receptor proteins of the target cells. In the case of *L.monocytogenes*, the internalin family plays a significant role; the internalin proteins determine to a large extent the cell specificity of the infection process [28]. Additionally, an ActA dependent cell ingestion has been discussed, which is mediated through receptors of the heparan sulphate family 40 [29]. If *L.monocytogenes* infects a cell, it does not lead to a full infection cycle in every case. If listeriolysin

DISSEMINATION

5 in *L.monocytogenes* is inactivated, the bacteria then remain
in the endosome and the infection in the "first cell" does
not take place. Bacteria in which the protein ActA is
deleted, inactive or no longer available, enter the first
infected cell but remain there and can no longer infect the
10 neighbouring cells [30, 31]. If PclB is deleted, the
bacteria is no longer able to establish itself in the
second cell.

15 *L.monocytogenes* is a bacterium which can be treated with a range of antibiotics. Ampicillin and penicillin (always in combination with gentamycin) are particularly suitable. Erythromycin and sulphonamides can also be used as alternatives. Tetracycline, vancomycin or chloramphenicol can also be used in special cases [32]. Similar treatments
20 exist for other bacteria [8a] of the following types: *Aeromonads*, *Bartonella*, *Brucella*, *Campylobacter*, *Enterobacteriaceae*, *Mycobacterium*, *Renibacterium*, *Rhodococcus* and other bacteria which are genetically or biochemically related to them.

Given this information, the question arises as to how bacterial infection can be used to induce organotropic protein production.

SUMMARY OF THE INVENTION

30 This problem is solved by a TGC procedure that induces
targeted somatic transgenesis, whereby bacteria, carrying a
foreign DNA which is integrated into an episomal vector and
prepared for subsequent transcription and expression,
release their genetic information into an infected single
35 cell when infecting cells, tissue, an organ or the whole
host organism and so cause expression of the foreign
protein.

40 This method can be used to obtain a foreign protein but is also advantageous for somatic gene therapy. Here the foreign DNA, introduced into the host organism through

5 bacterial infection, can cause the production of protein
missing in the host organism or, by producing single or
double strand nucleic acids, can increase, reduce or hinder
the production of a protein in the host organism. This
method can be used on all known farm animals and also on
10 humans.

If the infected tissue is the egg of a poultry bird, the
foreign protein is produced in the egg and can be isolated
following known procedures for the isolation of proteins,
15 for example from hen eggs. If the infected tissue is blood
cell tissue, the bacteria can spread via parenteral
infection of the cells and through them the foreign DNA can
reach the entire infected organism. If the host animals are
laboratory animals whose infected organ is an udder, the
20 desired foreign protein is then produced in the milk of the
laboratory animal from which the foreign protein can then
be isolated.

DETAILED DESCRIPTION OF THE INVENTION

The TGC procedure is discussed below using the
25 *L.monocytogenes* bacterium as an example. It can be
similarly used, however, for all bacteria which grow
intracellularly, in particular bacteria of the following
types: Aeromonads, Bartonella, Brucella, Campylobacter,
Clostridia, Enterobacteriaceae (in the case of the latter,
30 particularly bacteria of the genus *Yersinia*, *Escherichia*,
Shigella, *Salmonella*), Legionella, Mycobacterium,
Renibacterium, *Rhodococcus* and bacteria from genetically or
biochemically related types. Other bacteria types which are
35 non-pathogenic and do not have an intracellular lifestyle
are also suited to the method according to the invention,
as long as they are viable in a eukaryotic host organism.

It is additionally possible to carry out the TGC procedure
with naturally apathogenic bacteria which through genetic
40 manipulation are armed with additional factors which enable
their entry into cells. Many naturally occurring bacteria

DETAILED
DESCRIPTION

5 such as *Bacillus subtilis*, *Lactobacilli*, *Pseudomonads*,
Staphylococcus incapable of intracellular growth can be
10 additionally equipped with a set of pathogenicity factors,
for this purpose. One TGC safety strain armed in this way
is, for example, *Bacillus subtilis*, which is additionally
equipped with listeriolysin from *L.monocytogenes*. An
example for the arming of apathogenic bacteria for the TGC
safety strain is given in example 1, with the equipping of
L.innocua with the hly and/or actA gene from
15 *L.monocytogenes*. A further example is *E.coli* K12 armed with
the invasin gene (inv) from *Yersinia pseudotuberculosis*.

The TGC procedure is carried out in the following steps:

Digitized by srujanika@gmail.com

a) Cloning of the TGC (foreign) DNA:

20 The TGC method is initiated with the preparation of
L.monocytogenes strain in the laboratory. The cDNA for the
foreign protein to be produced is inserted into a suitable
vector. The introduction of the cDNA is carried out in a
25 known way so that subsequent transcription and expression
in the eukaryotic host is assured. If the protein is
secreted from the cell then the vectors must contain
suitable host cell specific secretory signal sequences. The
vector can be a eukaryotic vector, for example pCMV from
30 the company Clontech or pCMD from the company Invitrogen,
both of which are commercially available. As important
criteria for chosen vectors, these have eukaryotic
promoters, donors and acceptor sites for RNA splicing
(optional property), as well as a polyadenylating site, for
35 example from SV40. The production of genetic constructs
(hereafter referred to as TGC DNA below) in E.coli, or any
other suitable host strain according to the method, can be
carried out for the propagation of the DNA. The TGC DNA
must simply be able to be introduced into the selected
40 bacteria for the primary cloning and then later transferred
into the selected bacterial TGC safety strain. The transfer

5 into *L.monocytogenes* can be carried out using the various well-known methods of gene transfer of isolated DNA (transformation, electroporation etc.) or can be undertaken using the processes of conjugation and transduction either directly or indirectly from bacterium to bacterium.

10

b) TGC safety strains as recipients of TGC DNA:

Special *L.monocytogenes* host strains are used as recipients of the TGC DNA, - or other TGC hosts, which like 15 *L.monocytogenes* are intracellularly active bacteria (e.g. *Yersinia*) or bacteria which enter the endosome (e.g. *Salmonella*) or are "armed" with additional bacterial factors, or alternatively, otherwise non-pathogenic bacteria (e.g. *Escherichia coli* or *L. innocua*). In all these 20 cases the following properties, singly or in combination, must be met:

- (A.1) they are suitable as recipients of foreign DNA (genetic manipulability);
- (B.1) they carry mutations which affect genes, without which survival of the bacteria in the environment (outside the host) is not possible, for example, at low ambient temperatures (safety related property);
- (B.2) they are attenuated host strains, for which a part of their virulence factors are deleted or inactivated so that they no longer possess the full pathogenicity of the wild-type strains (attenuation);
- (C.1) they are "genetically disabled" and can only be cultivated on defined artificial media due to targeted metabolic defects introduced by the experimenter. As a result of these defects they

DISSEMINATION
"OGENO"

are incapable of growth in a cell and in particular in the animal host and thus cannot proliferate and undergo "endogenous suicide";

- (C.2) they induce their uptake in endosomes and are dissolved in these cell compartments (infection via endosomes);
- (C.3) they are ingested by professional phagocytes but can dissolve these cell compartments (i.e. egress) (infection through phagolysosomes);
- (C.4) the bacteria carry suicide genes which are only conditionally activated after invading the host cell, so the bacteria kill themselves ("exogenous suicide");
- (D.1) they can be eliminated by antibiotic treatment of the intended animal host (killing off through antibiosis).

5

Point A.1 is a general property of bacteria, without which none of the genetic manipulation mentioned would be possible.

10 Points B.1 and B.2 summarize alterations which make the use
of the bacteria safer. Bacteria with these alterations
cannot proliferate if released to the outside world, are
attenuated (B.1), or show reduced pathogenic potential
(B.2). The alteration of bacteria according to point B.1
15 has an influence on the release of foreign DNA into the
cell (see points C.2 and C.3).

Points C.1 - C.4 refer to genetic alterations of bacteria which decisively determine the release of the foreign DNA into the animal cell. In points C.3 - C.4 are indicated ways of infection which for bacteria, further summarized

5 below in the examples, were identified as a means for the
transmission of foreign DNA into the cytosol of animal
cells.

10 Antibiotic treatment carried out in point (D.1) permits the
targeted destruction of bacteria. As a result of this,
foreign DNA is released from the bacteria and therapy with
antibiotics is also a safety relevant feature.

15 The alterations and interventions of C.1 - C.4 and also B.2
and D.1 enable the release of recombinant DNA into the
cell.

20 Strains with these properties (singly or in combination)
are called TGC safety strains.

25 c) Optimization of the TGC hostss to the target organ of
the TGC procedure:

30 The TGC DNA which codes for the foreign protein to be
produced is transferred into the TGC safety strain by
transformation, conjugation or transduction. The strains
thus obtained are subsequently referred to as TGC hosts.
The host supplies (feeds) the TGC host with DNA and thereby
induces somatic transgenesis. In order for the desired
foreign protein to be optimally expressed during the TGC
process, the gene should be preferably controlled by
promoters and other regulatory sequences that either
originate from the preselected target organ of the TGC
process or are optimized for the target organ, as for
35 example with udder specific promoters and secretion
signals.

40 d) Infection of the host organism with the TGC host:

45 The propagation of the TGC host by cultivating in vitro in
a culture medium is used to prepare it for carrying out the

05534005 - 050600

5 TGC process in the selected host organism. The TGC host
strain can alternatively also be propagated in the host
organism (human or animal, denoted as TGC host), by in vivo
cultivation. In preparation for infection, the TGC host
strain is suspended in a non-bactericidal solution adapted
10 for the TGC host, in a buffer or in another physiological
liquid. The liquid is administered to the TGC host, for
example to the lactating mammal if the udder is to be made
somatically transgenic. This can be carried out perorally
15 by drinking the liquid or by supplying it via a stomach
tube, the anus or another body orifice. The administration
of the TGC host strain by injection is an alternative
possibility and can be done intravenously, intramuscularly
directly into the target organ or, preferably,
20 intraperitoneally. A further alternative is infecting by
producing an aerosol and then inhaling the droplets.

The TGC host (human or farm animal: cow, horse, goat,
sheep, pig, hare, poultry etc.) can be infected several
times with the same or heterologous transgenes. By repeated
25 infection with different DNA which, for example, code for
several enzymes of a biosynthetic pathway, whole enzyme
cascades can be established in the TGC host. The
biochemical expression of multigenic proteins can thus also
be achieved.

30
e) Organ and cell specificity of infection:

The subsequent path of the TGC host strain in the organism
is determined by the natural route of infection. The TGC
35 host strain reaches the target organ using the route
typical for the respective bacterium. If the TGC host
strain carries genetically unaltered internalin, as in the
case of *L.monocytogenes*, then the udder will be among the
target organs. Genetically altered internalins permit the
40 infection of other organ systems. Depending on its
infection cycle, the TGC host strain penetrates into the

5 cells and appears in the cytoplasm. As it is genetically defective, the TGC host strain cannot proliferate there and it undergoes "endogenous suicide" (see C.1 under b) above). With cell infection the TGC host strain has introduced the host-foreign TGC DNA into the cell. The transfer of foreign 10 DNA into the cell can, however, also be brought about by "exogenous suicide" (see C.4 under point b) above) or by elimination the bacteria through specific antibiotic treatment (see C.3 under point b) above). In these three 15 cases the bacteria cells carrying the foreign DNA die within the animal cells and thereby release the foreign DNA into the cytoplasm. Finally, the transfer of the foreign DNA into animal cells can also be achieved by targeted 20 infection of cells with absence of lysis of the endosomes. The foreign DNA of the animal cells is thus available within the endosomes by lysis of the bacteria.

In each of the cases mentioned, the DNA transferred into the cells is now available as a template for the production of the desired foreign protein. The nucleic acid can also 25 have a direct therapeutic effect however, for example by the generation of anti-sense RNA. The cells, tissue or organ manipulated in this way became somatically transgenic in the course of the infection.

30 f) *L.monocytogenes* induced protein production in the milk of mammals

After carrying out the TGC procedure - for example with TGC host strain such as *L.monocytogenes* or other 35 intracellularly active bacteria (e.g. *Yersinia*) or bacteria which penetrate the endosome (e.g. *Salmonella*) or are "armed" with additional bacterial factors, or otherwise non-pathogenic bacteria (e.g. *Escherichia coli* or *L.innocua*) - the protein is created in the lactating cell 40 and passed out into the milk with the other products of the cell. If several animals are made somatically transgenic

DRAFT - 13 -

5 with different foreign DNA in a TGC process, then the different proteins can be produced, separated from each other, by collecting the milk of each single TGC host (milking).

10 Due to the properties of the TGC host strain, no *L.monocytogenes* (TGC host strain, i.e. host bacterium) appear in the milk. Should this be the case however, then the bacteria can be eliminated using the methods familiar to an expert in the field, for example by treating with

15 antibiotics. Animals (or also humans) are free of any viable, genetically engineered organisms after carrying out targeted genetic conditioning (TGC) and do not therefore have to submit to any further safety checks. The TGC host transmits the genetic information introduced into it by the

20 TGC process to the offspring cells in the context of usual cell division. The information is not transmitted to the descendants of the TGC host however, as the TGC DNA is not present in the germ line of the TGC host. The avoidance (i.e. omission) of genetic manipulation of the germ line of

25 the whole organism and targeted protein production in a predetermined organ or tissue of the animal host (animal and human) constitutes the innovative and new aspect of the method according to the invention.

30 g) Infections of tissue by *L.monocytogenes*

Blood is a tissue whose genetic alteration using the TGC method according to the invention will be described as an example. Blood cells are particularly suited for the TGC

35 method. It is possible to infect blood cells outside the body. The desired somatic transgenesis of the cells can similarly be monitored outside the host. In the case of attenuated auxotrophic bacteria - diaminopimelic acid is here used as an example for auxotrophy - the substances

40 necessary for the growth of the cells can be added to the medium and thus control the life span of the bacteria

09581005 - 060500

5 according to the experimental objective. It is possible to
check whether the intracellular bacteria are still alive by
subsequent lysis of the animal cells.

10 The transfected cells, containing a well defined quantity
of live bacteria, are finally used for reimplanting in the
recipient organism. In particular cases there can be such a
large number of bacteria that additional organs in the
organism are infected. In other cases transgenesis is
specifically restricted to the blood tissue by the in vitro
15 elimination of live bacteria before reimplantation in the
TGC host.

20 Reimplantation and the connected dissemination of
transgenic cells with or without live bacteria permits
somatic gene therapy of cells in the host, which in this
case may also be a human host.

25 The TGC method also enables extracorporeal proteins to be
produced. For this purpose TGC host strains are injected
into the eggs of poultry birds. Suitable techniques for
this are state of the art in the production of vaccines by
viral agents. During the incubation period the cells in the
egg are infected in a somatic transgenic process and then
produce the foreign protein. The foreign protein can be
30 purified from the egg using state of the art techniques.
With this type of TGC process the TGC host strain remains
controllable in all stages of use under laboratory
conditions. The quantity of protein to be produced depends
only on the injection of a correspondingly large number of
35 eggs.

h) Use of the TGC method for somatic gene therapy

40 There is not yet an established form of somatic gene
therapy. At present the nucleic acid used for transfection

02581005 1060500

5 is protected from the influence of the outside world within viruses or packed in liposomes.

Viruses have the disadvantage that they only have a limited size uptakecapacity and that the development of their full 10 cytopathic effect at high infection doses must be taken into account [32a]. They induce immune reactions and so can be attacked and destroyed themselves. Some viruses are inactivated by serum and are then unusable for gene 15 therapy. Here particularly, mention should be made of the multiple dosage of viruses for gene therapy, in the course of which the immune response of the host is stimulated. The creation of a specific defence aimed against viruses has proved to be a significant problem in the use of viruses in the context of gene therapy.

20 When using liposomes, the toxic effect of lipids in provoking inflammatory reactions must be considered.

In the case of in vivo therapy there are still considerable 25 obstacles to using the gene transfer systems used so far. For this form of therapy it is necessary to have [32b]:

- (i) Resistance of the vector against breakdown after in vivo administration in the body,
- (ii) Tissue specificity, i.e. targeted control of the tissue (organ) being subjected to therapy and
- (iii) Safety, by which is meant harmlessness to organs not being treated [32b].

30 The bacteria described in this patent application, which function as a vehicle for gene delivery are ideally suited for gene transfer. The bacteria are optimally adapted to their corresponding host and can survive in it for a sufficient length of time without external intervention,

09581000-00000000

5 such as antibiotic therapy. They induce specific diseases
following a defined route of infection and in so doing
partly display marked organotropy. They can take up
considerable quantities of foreign DNA (e.g. naturally
occurring plasmids have sizes of several hundred
10 kilobases), so not only cDNA's but even larger regions of a
chromosome can be transferred. Finally, they can be used
safely, particularly if "disabled" bacteria are used, as
described above. The genetic defects of the TGC host
strain, in combination with their antibiotic sensitivity,
15 assure efficient elimination of the bacteria after they
have completed their task of DNA transfer into eukaryotic
cells.

DETAILED DESCRIPTION OF THE CERTAIN PREFERRED EMBODIMENTS

Example:

20

Examples for somatic gene therapy are listed below:

- Therapy for cystic fibrosis (CF): the bacterium must here
be administered by inhalation to the patient undergoing
25 therapy. The bacterium used should preferably be a
bacterium which is transmitted through droplet infection.
The bacterium contains the CFTR gene, which can cure the
crucial defect occurring in CF. The bacterium penetrates
into the airway lumen-facing columnar cells and
30 transfets them with the CFTR DNA integrated into the TGC
vector. The cells become somatically transgenic, the
defect is cured.
- β -thalassaemia can be treated by somatic gene therapy
35 with human β -globulin gene. Ex vivo cells that originate
from the haemopoietic system are infected with a TGC
safety strain, which transfers the β -globulin gene into
the original cell. The infecting bacterium is eliminated
40 by treatment of the cells in the cell culture and the
transgenic cell is prepared for transfer back into the

5 human. This transfer takes place through intravenous administration.

10 - In therapy of Hurler syndrome, naive CD34 positive cells of the bone marrow are transfected with α -L-iduronidase gene. The way gene therapy is carried out and the transfer of the cells back into the patient are as described in the preceding example.

15 - In gene therapy of Fanconi anaemia, the gene of the Fanconi anaemia complementation group C (FACC) is used for somatic gene therapy. The target cells of the infection with TGC host strain are again CD34 positive cells of the bone marrow.

20 i) Proof of the success of TGC method

25 DNA transfer is already evident in mice within the first 24 hours, i.e. long before a specific immune response against the bacterium could arise. This was demonstrated by the production of β -galactosidase or the green fluorescent protein (EGFP) in cell cultures within 24 hours. The "mitogenetic effect of bacteria", which additionally occurs in the context of infection, favours the establishment of DNA in the TGC cell and is therefore desired and advantageous for the success of the TGC process.

30
35 In summary, it can be established that the use of bacteria for somatic gene therapy is safer than gene therapy using viral systems. Bacterial infection can both be directed and restricted locally. Growth and hence florid infection by the bacteria can be prevented by removing particular bacterial factors. Additionally the growth of bacteria in eukaryotic cells can be directly influenced and generally prevented. Finally, the termination of bacterial infection 40 is possible at any time through the use of antibiotics,

5 i.e. the place, time and effectiveness of the infection can
be controlled.

The invention is described in detail below, using
L.monocytogenes as an example:

10

Example 1: Production of TGC safety strains

The *L.monocytogenes* safety strains are produced by targeted
genetic alterations of primary pathogenic *L.monocytogenes*.

15 In so doing, several levels of safety are established
together. Recurrence of vitality or pathogenicity caused by
reversion of the mutations is prevented. The mutations
affect genes which (1) influence the survival of bacteria
in the cell, (2) which diminish the pathogenicity of the
20 bacteria in the TGC host and (3) which prevent survival of
the bacteria in the environment, should any escape.

a) First level of safety - safety relevant property:
survival in the environment (see point B.1 under b)
25 above)

TGC host strain s can be applied to the TGC host either by
injection or by peroral administration. With peroral
administration there may be a surplus of bacteria,
30 resulting in secretion of bacteria, which are not ingested
by the organism. In order that these eliminated bacteria
have no opportunity of surviving in the environment, the
TGC safety strain can contain additional mutations which
prevent the growth of the bacteria in the environment.

35

As an example for this, the switching off of the *cspL* gene
(cold shock protein of *Listeria*) is indicated. This has the
consequence that the bacteria can no longer grow at
temperatures under 20 °C. Growth and ability to infect at
40 37 °C are not adversely affected, but are additionally
modulated by simultaneous mutations according to a) and b).

CONFIDENTIAL

5 The *cspL* gene, which is deleted in the safety strains used in this invention, is shown in the sequence protocol under SEQ. ID No. 2. A corresponding *cspL* deleted strain has been deposited at the DSM under No. 11883 with the description *L.monocytogenes* EGD delta *cspL1*.

10

The TGC safety strains of the invention can only be cultivated on special growth substrates. The growth temperature must be above 37 °C, growth is not possible below 20 °C. The bacteria possess limited pathogenicity and 15 are only capable of penetrating restricted, tightly defined areas of the TGC host. In this way safety of the system for humans and the environment is assured. The TGC host strains are no longer able to grow outside the artificial media, here specifically, the host cell. This restricted 20 intracellular viability is at the same time a prerequisite for the release of TGC DNA in the host cell and hence for the induction of somatic transgenesis using the TGC method.

25 b) Second level of safety - attenuation: reduced pathogenicity (see point B.2 under b) above)

The second level, of attenuation of the TGC safety strains includes mutations in the pathogenicity factors. Through targeted mutations in defined factors, pathogenicity in the 30 bacteria is reduced, induced apoptosis of infected host cells is prevented and the immune reaction is at the same time directed in the desired direction. The mutations restrict the intracellular motility of the bacteria and hence their spread to secondary cells. The infection is 35 thus limited to the chosen target cells, with retention of treatment using antibiotics.

40 For safety considerations it is desirable to restrict or even prevent the intracellular spread of TGC nurse after infection. Accurate knowledge of the intracellular lifestyle and the motility of the above mentioned bacteria

5 makes it possible to produce defined, stable mutants with reduced ability to infect the TGC host.

With *L.monocytogenes*, the mutations attenuated in this way affect, for example, the *hly* gene with consequent blocking 10 of infection in the first cell. An example for the switching off of this pathogenicity factor, the strain *L.monocytogenes* EGD *Hly*_{D491A} has been deposited and has received the number DSM 11881.

15 Another example for the reduction of pathogenicity of *L.monocytogenes* are mutations in *actA* gene or the deletion of regions which are necessary for the interaction between *actA* and the host cell protein VASP, with the consequent blocking of intracellular motility. Finally, there are 20 mutations of *plcB* gene, in which bacteria are disabled for spread into a second cell. The deposited strain *L.monocytogenes* EGD delta *actA* delta *plcB* is an example of a double mutation in which both the *actA* gene and the *plcB* gene are removed . It has deposit number DSM 11882.

25 It is additionally possible to exchange the wild-type listeriolysin gene in *L.monocytogenes* for a mutated allele. The properties of the listeriolysin are then restricted, both for inducing apoptosis in various host cells and also 30 for generating a strong T cell mediated immune response.

c) Survival in the cell: - endogenous suicide: third level of safety (see point C.1 under b) above)

35 In general one of the features of attenuated bacteria for the TGC process is their having defined deletions in the genes which are essential for the biosynthesis of integral bacterial components. The selected auxotrophic bacteria are suitable as TGC host strains, since, being attenuated 40 bacteria, they can transport foreign DNA into the cell. However, as the bacteria in the cells lack essential

5 "growth factors", they spontaneously lyse and thereby release TGC DNA in the cell.

L.monocytogenes are used as TGC safety strains. They are genetically altered in such a way that although they infect the cell, they can no longer multiply in the cell. This is achieved by, for example, inactivating the dapE gene in L.monocytogenes. Listeria are gram positive bacteria which, just like gram negative bacteria, require meso-diaminopimelic acid derivative (DAP) for cross-linking of the cell wall. Biosynthesis of diaminopimelic acid is therefore essential for the creation of the bacterial cell wall. DAP auxotrophic bacteria succumb to spontaneous lysis if this amino acid is no longer supplied in the culture medium. The enzymes which are involved in DAP synthesis in bacteria are not present in mammalian cells. In TGC safety bacterial strains, these enzymes are also deleted or inactivated by insertions or other means. The dapE of L.monocytogenes, which was inactivated in the safety strains used according to the invention, is shown in the sequence protocol as SEQ. ID No. 1. For the genetic manipulation of the dapE gene in L.monocytogenes, its sequence had to be determined, as corresponding genes, e.g. from E.coli, has only about 30 % homology to the sequence of SEQ ID No. 1 protocol.

30 The bacteria deleted for this or other genes of the DAP biosynthesis pathway, so called DAP mutants, cannot grow either within or outside the host. In order to grow they require the addition of a large quantity of DAP (1 mM) to
35 the growth medium. If DAP is missing, the bacterium cannot survive either in the TGC host or outside the TGC host. These DAP mutants hence provide safety, both against a bacterial infection of the TGC host and safety against an infection of other organisms in case of release of a strain
40 of this type into the environment.

5 A manipulation of the genome of *Salmonella* (creation of an auxotrophic mutant) shows that the deletion (or blocking or mutagenesis) of the *aroA* gene, which is essential for the synthesis of aromatic amino acids, has the same effect. From the *Salmonella* vaccine strain (available from the
10 American collection of bacterial strains under the number ATCC14028), a mutant can be produced by genetic manipulation using techniques well-known to experts, and with knowledge of the *aroA* gene sequence (Genebank accession number M10947). This mutant can function as a TGC
15 safety strain in a similar way to the recombinant bacteria here described for *Listeria*. Release of foreign DNA occurs, as for the above described *L.monocytogenes* delta *dapE* strain, through the bacteria dying off after their uptake into the cell. Unlike *L.monocytogenes*, *Salmonella* cannot
20 enter the cell cytoplasm. Release of the foreign DNA in this case occurs from the endosomes into the cell cytosol.

Other attenuated mutations of *L.monocytogenes* are also known, in which biosynthesis of nucleic acids, amino acids,
25 sugars or other essential cell wall ingredients, is blocked [33 to 35]. The same can also be achieved through mutations in regulatory genes which are essential for the intracellular lifestyle of the bacteria. An example of a gene of this type is *phoP* of *Salmonella typhimurium* [36].
30

The examples described here for *L.monocytogenes* can be applied to other intracellular live bacteria or bacteria which are first made into intracellular activators by being armed with pathogenicity factors. This is especially the
35 case for bacteria of the types Aeromonads, Bartonella, Brucella, Campylobacter, Clostridia, Enterobacteriaceae (particularly *E.coli*, *Salmonella*, *Shigella*, *Yersinia*), *Mycobacterium*, *Renibacterium* and *Rhodococcus*. A TGC safety strain accordingly armed, for example, *Bacillus subtilis*,
40 which is additionally equipped with *listeriolysin* from *L.monocytogenes*.

CONFIDENTIAL

5

An important prerequisite for transfer of DNA itself into cells distal in the body is the protection of the DNA on its way to the target cell or target tissue or target organ. The ability of intracellular live bacteria such as 10 *L.monocytogenes* to spread intracellularly is an ideal property for transporting genes into isolated cells, deeper tissue and organs. The vehicle, the TGC host strain, dies after successful transfer of TGC DNA into the target cell, as a consequence of attenuation (B.1), induction of 15 auxotrophy (B.2), endogenous suicide (C.1), infection by endosomes (C.2), infection by phagolysosomes (C.3), exogenous suicide (C.4) or antibiotic therapy (D.1).

20 **Example 2: Release of foreign DNA in animal cells (tissue or organ)**

a) Infection via endosomes: Transfer of the expression plasmid without release of the bacteria from the endosome vesicle (see point C.2 under b) above)
25 Tests were carried out to see if bacteria are able to transfer their plasmid DNA into the cytoplasm of infected host cells, without it being necessary for them to first escape from the endosome vesicle. The ability of 30 *L.monocytogenes* Δ hly mutants, which can no longer leave the endosome, to function as a transfer bacterium for DNA transfer was investigated. EGFP was chosen as the foreign DNA to be transferred. It is a fluorescent protein which was cloned under the control of a CMV promoter. As a 35 measure for successful transfer of foreign DNA - i.e. as a measure for transfection of the eukaryotic cells - 10,000 cells were examined in a FACS scanner for the occurrence of EGFP dependent fluorescence, after infection with the corresponding *L.monocytogenes* strains. The number is 40 expressed in Table 1 as a percentage of the total number of measured eukaryotic cells. *L.monocytogenes* wild-type strain

5 EGD served as a positive control during the experiments. An isogenic non-invasive Δ InlAB strain was also tested. The evidence obtained with these bacteria have general validity and are transferable to other bacteria.

10 The results are summarized in Table 1 and show that Δ hly mutant is just as efficient as the wild-type *L.monocytogenes* strain with regard to DNA transfer from the bacterium into the eukaryotic cell. The *L.monocytogenes* Δ InlAB strain is not suitable (PtK2) or is significantly

15 worse (Hep-2) as a vehicle for DNA transfer into the cells here indicated. The experiments also show that the active uptake of bacteria by eukaryotic cells (in this case non-professional phagocytes) is a precondition for transfection of cells. The attachment of bacteria is effected by the

20 interaction between bacterial internalins (InlA and/ or InlB) and the receptors of the animal cells. The experiments of the following example demonstrate that internalin is not necessary for the uptake of bacteria in professional phagocytes.

25

Cell line	Origin	<i>L.monocytogenes</i> strain	Transfected cells in %
PtK2	Kangaroo rat kidney	Wild-type EGHD	1.71
		Δ hly	1.78
Hep-2	Human larynx carcinoma	Δ inlAB	0
		Wild-type EGHD	4.58
		Δ hly	4.31
		Δ inlAB	0.24

b) Infection through phagolysosomes: Arming of non-pathogenic strains as TGC safety strain; (see point C.3 under b) above)

5

The example shown below for *L. innocua* is representative and can be extended to other non-pathogenic bacteria (e.g. *Escherichia coli*). The steps leading to the genetic manipulation of such bacteria correspond to those here 10 indicated for *L. innocua*.

A non-pathogenic *L. innocua* strain (Serovar 6a) was "armed" with the pathogenicity factors listeriolysin and ActA from *listeria monocytogenes*. In order to be able to regulate 15 this gene, the positive-regulatory factor (PrfA) was cloned as third gene into genetically engineered *L. innocua* strain. The presence of PrfA causes expression of the virulence gene to be growth temperature dependent. As this recombinant *L. innocua* strain possesses no internalin, i.e. 20 is not itself invasive, it cannot penetrate into the above mentioned cells (Ptk2, Hep-2). If the experimenter wishes to be able to also infect these cells, then the bacteria must additionally be equipped with the internalins InlA and/ or InlB. The experiments of the present example show 25 that there is no need of these bacterial products (internalins) for the ingestion of *L. innocua* (hly+; actA+) strain by professional phagocytes. After their phagocytosis, the *L. innocua* strain (hly+; actA+) uses the protein listeriolysin for the lysis of the phagolysosomes 30 of the professional phagocytes. It can be seen from the electron micrographs that the genetically manipulated *L. innocua* (hly+; actA+) strain appears in the cytoplasm of the professional phagocytes. The wild-type strain *L. innocua* Serovar 6a, on the other hand, is killed off in the 35 phagolysosome and does not appear in the cell cytoplasm. Expression of the ActA-protein enables the *L. innocua* (hly+; actA+) strain to have an actin cytoskeletal-dependent intracellular movement, which appears similar to the movement of the *L. monocytogenes* strains in the EM images. 40 Due to the failure of further genes, such as e.g. the plcB gene, the *L. innocua* (hly+; actA+) strain mentioned here

03581005-060500

5 cannot spread to neighbouring cells. This specific alteration in infectivity has already been described for recombinant *L.monocytogenes* Δ plcB strains.

10 The targeted selection of genes, here *hly* and *actA*, and their transformation into non-pathogenic bacteria, transfers the selected *L.monocytogenes* properties to non-pathogenic bacteria. The escape of the bacteria from the "deadly" phagolysosome is a precondition for the transfer of foreign DNA into infected cells. The DNA which is to be 15 transferred for the reprogramming of animal cells, is thereby integrated into host strains, as described above for attenuated *L.monocytogenes* bacteria - which according to the invention can be used as such. The release of the genetic information according to the invention occurs 20 through (i) creation of auxogenous mutants (deletion of endogenous, life-essential genes), (ii) through introduction of "suicide genes", (iii) through induced ingestion into endosomes and killing off there or (iv) through antibiotic therapy which is temporally defined and 25 directed to killing bacteria in a target organ or tissue.

30 The experiments of this example are representative of how naturally occurring non-pathogenic bacteria can be consecutively "armed". By equipping them with defined bacterial factors (here genetic i.e. properties of naturally invasive bacteria), bacteria which are otherwise primarily unsuited for the TGC method can be manipulated and directed in such a way by the experimenter so that they 35 can be used for controlled infection and transfer of DNA into animal cells (or tissue, organ, whole animal, human).

c) Release through exogenous suicide: Cloning of suicide genes: (see point C.4 under (b) above)

40 Suicide genes, which are activated after penetrating into the host cell and lead to death of the bacteria, can be

PCT/EP/2003/000000

5 supplied to the bacteria in the form of lysis genes from
bacteriophages, for example with the S-gene of the lamda or
analogous bacteriophages [37], or with killer genes from
plasmids [38]. These genes are controlled by an
intracellular inducable promoter (for example pagC-promoter
10 from *Salmonella* [38]).

d) Release through antibiotic therapy: Targeted release of
foreign DNA in the lung after droplet inhalation of
Listeria monocytogenes (see point D.1 under (b) above).

15

Infection with bacteria took place according to the method
"Body plethysmography in spontaneously breathing mice" by
R. Vijayaraghavan [Arch. Toxicol. 67: 478-490 (1993)]. In
the experiment mice were exposed singly for half an hour in
20 an inhalation chamber to an aerosol of one millilitre of
bacterial suspension, which contained a total of 5000
bacteria. This quantity of bacteria corresponds to the LD50
dose of intraperitoneally administered bacteria. In order
25 to be able to follow the course of the infection in real
time, the bacteria were once more transformed with a EGFP-
gene construct. Using fluorescence analysis of the EGFP-
protein formed in the tissue, the route of infection of
the bacteria in the animal model was followed. Within half
an hour the bacteria penetrate into the columnar and
30 endothelial cells of the air passage. At this point no
bacteria are to be found in other tissue or organs of the
infected animal, such as e.g. spleen, liver, brain. The
infection remains exclusively restricted to the lung for up
to 18 hours. Only after 24 hours are other organs also
35 affected.

The experiment shows that the spread of bacteria after
droplet infection can be restricted to the primary organ if
there is an intervention into their viability. Two ways of
40 achieving this are by using attenuated mutants (e.g. ActA
deleted in the "spreading gene") and/ or by destroying the

05531005 - 0553600

5 bacteria through initiating antibiotic therapy at a time determined by the experimenter, i.e. in an organ determined by the experimenter.

Example 3: Description of the TGC vectors

10 TGC vectors are episomal DNA, for example plasmids with low ingestion capability for foreign DNA (pMB derivatives which are sufficient for single genes), or plasmids with greater DNA ingestion capability (such as in Pl- or F-plasmids), in 15 order to create somatic transgenesis for complex biosynthetic pathways.

20 In all cases, the plasmids involved are replicated in the bacteria hosts which are used for genetic alteration and cultivation for the TGC process. *E.coli*, or other bacteria commonly used in recombinant DNA techniques, are suited as examples of an intermediate host in which genetic building blocks can be constructed. *L.monocytogenes* or other above-mentioned bacteria functioning as TGC host strainss are 25 suitable as a TGC safety strain. In order to fulfil this condition, the plasmids contain the host-specific plasmid replicon sequences. During the process of generating recombinant DNA, the transformed host cells must be distinguished from "naked" host cells. Generally, common 30 antibiotic resistance genes can be used as selection principles for this.

Example 4: Transformation of *L.monocytogenes* safety strains to TGC host strains

35 The transformation of *L.monocytogenes* is carried out according to a modified protocol of Park and Stewart [40].

40 Accordingly, bacteria are applied up to an optical density of $OD_{600} = 0.2$. Ampicillin (10 μ g/ml) and 1 mM glycine are added to the culture medium. Further proliferation occurs

5 up to an OD₆₀₀ of 0.8 to 1.0. The cells are harvested by centrifugation and resuspended in 1/250 vol. cold electroporation buffer (1 mM Hepes, pH 7.10, 0.5 M sucrose). The bacteria are washed up to four times prior to electroporation.

10

For electroporation, 50 μ l of the prepared cells are added to an electroporation cuvette, electroporation is carried out using 1 μ g DNA at 10 kV/cm, 400 ohms, 25 μ F.

15 After electroporation the cells are immediately cooled on ice, suspended in 10x BHI medium and incubated for 2 hours at 37 °C with careful agitation. After this the cells are plated and incubated at the desired temperature. The efficiency of transformation with this method is 10⁴ to 10⁵ 20 transformers per μ g plasmid DNA used.

Example 5: Description of the cultivation of TGC host strains for use in the TGC method

25 Listeria were preferably cultivated in the brain-heart infusion broth, for example BHI of the Difco company. Alternatively, and for special applications (radioactive labelling of listerial proteins), the bacteria can be cultivated in tryptic soy broth (TSB) or in Listeria 30 minimal medium (LMM) [36]. The bacteria are centrifuged off and washed several times in a suitable transfer medium, for example, a bicarbonate containing buffer.

Bacteria prepared in this way can be kept for at least 6 35 months at -80 °C with the addition of 15 % glycerine solution, before they are used in the TGC procedure.

Example 6: TGC method - use of TGC host strains as nutrient

40

5 As an introduction to the TGC process, the animals are not
allowed to drink for a few hours. The (TGC host strain :
TGC-DNA in the desired strain) are infused in a bicarbonate
containing buffer of suitable concentration and
administered to the animals orally, by inhalation or by
10 injection (parenteral, intramuscular, intraperitoneal or
directly into the target organ). The type of application is
determined by the physiological route of infection of the
corresponding TGC hot strain. The selection of the
bacterium which is used as TGC safety strain depends on the
15 target organ and is established according to the path of
infection and according to the organotropy of the relevant
bacterium. The dosage of bacteria is chosen so as to
achieve the desired organotropic transfection of the TGC
host strain. The quantity and type of bacterial application
20 thus depends on the particular bacterium, but also depends
on the host and target organ (see also example 2).

Example 7: Implementation of somatic gene therapy

25 Examples for somatic gene therapy are listed below:

- Therapy for cystic fibrosis (CF): the bacterium must be administered by inhalation to the patient undergoing therapy. The host used should preferably be a bacterium which is transmitted through droplet infection. The bacterium contains the CFTR gene, which can cure the crucial defect occurring in CF. The bacterium penetrates into the airway lumen-facing columnar cells and transfects them with the CFTR DNA integrated into the TGC vector. The cells become somatically transgenic, the defect is cured.

40 - β -thalassaemia can be treated by somatic gene therapy with human β -globulin gene. Ex vivo haematopoietic stem cells are infected with a TGC safety strain, which transfers the β -globulin gene into the original cell. The

5 infecting bacterium is eliminated by treatment of the bacteria in the cell culture and the transgenic cell is prepared for transfer back into the human. This transfer takes place through intravenous administration.

10 - In therapy of Hurler syndrome, primitive CD34 positive cells of the bone marrow are transfected with α -L-iduronidase gene. The way gene therapy is carried out and the transfer of the cells back into the patient are as described in the preceding example.

15 - In gene therapy of Fanconi anaemia, the gene of the Fanconi anaemia complementation group C (FACC) is used for somatic gene therapy. The target cells of the infection with TGC host strain are again CD34 positive cells of the bone marrow.

20

Example 8: Monitoring the success of induced somatic transgenesis

25 After the TGC DNA has been transferred into the TGC host, the success of the TGC process has to be monitored. Immunological methods for detecting gene products (proteins) are suited for this, such as immunoassays (e.g. ELISA), immunoblot or other well-known methods which

30 involve an antigen-antibody reaction. T-cell responses can be measured in special assays and are always used when the antigen is a substance that is recognized via MHC-class 1 mediated immune responses.

35 If the protein produced is an enzyme, then its biological activity can be determined in the form of an enzyme activity test. If the protein additionally possesses biological activity, then the efficiency of the protein produced can be measured with biological assays.

5 For proteins that induce passive or active immunisation of
the TGC host, protection against the activating agent can
be tested; for example, the prevention of colonisation,
infection (or apparent disease) in the experimental animal
after exposure to the pathogenic organism (bacterium or
10 virus).

Example 9: Harvesting the protein

15 The protein to be produced can be obtained using state of
the art techniques that are common knowledge to persons
involved in animal husbandry:

- if the TGC host is a cow or other lactating farm animal
and the udder is the infected organ, then the well-known
20 techniques of milking can be used;
- if poultry birds such as hens were used as the TGC host,
then the eggs are collected and taken to the protein
purification stage;
- processing of proteins from organs whose products cannot
be externally accessed is achieved by obtaining the
relevant organs, for which the animal must usually be
killed, e.g. with fish;
- if the somatic transgenic tissue is blood, then the
desired product is obtained after venous aspiration, from
the blood or its cells and purified by methods familiar
to the expert.

35

Example 10: Initial purification of the protein

40 Preliminary purification of the protein to be produced is
achieved by separation processes, which are familiar to the
expert as mainly physical or physico-chemical methods.
Amongst these are precipitating the proteins using salts

5 (for example, ammonium sulphate), acids (for example, trichloroacetic acid) and using heat or cold.

A rough separation can also be achieved via column chromatography. All the methods used here strongly depend
10 on the primary media in which the protein is enriched. For example, many methods are known for the processing of milk or eggs in industry, and they can be used in the invention described here. The same also applies to processing of blood as a somatic transgenic tissue. Here it is possible
15 to refer to the experience of transfusion medicine, particularly the processing and purification of blood clotting factors.

Example 11: Purification of the protein

20 For the final purification of the proteins, all the methods used in conventional purification of proteins can be used. Amongst them are:

25 - purification using affinity chromatography, for example exploiting the receptor-ligand interaction;

30 - the preparation of fusion proteins with so-called "tags", which can be used for specific interaction with a matrix in chromatography (for example, polyhistidine tag and nickel column chromatography; the streptavidin-biotin technology of affinity purification). The tags can be then removed by appropriate introduction of a corresponding protease cutting site allowing subsequent
35 release of the desired protein following protease digestion;

- purification via specific antibodies (immunoaffinity chromatography);

5 - the exploitation of natural affinities between the target
protein and other proteins, carbohydrates or other
binding partners, as in the case of toxin A of
Clostridium difficile, which binds to thyroglobulin at 4 °C
and is subsequently eluted by raising the temperature to
10 37 °C.

Example 12: Production of TGC proteins:

The list of proteins which it is possible to produce with
15 the TGC method is theoretically unlimited and above all
includes the range of hormones, regulatory factors,
enzymes, enzyme inhibitors and human monoclonal antibodies,
as well as the production of surface proteins of pathogenic
microorganisms or viral envelope proteins so as to safely
20 produce diagnostic tests and vaccines which can be
tolerated. The list covers high volume products such as
human serum albumin and also proteins used in smaller
quantities, such as hirudin, blood clotting factors,
antigens for tumour prophylaxis and for active immunisation
25 (for example, papilloma antigen) or for passive
immunisation.

5 Bibliography

1. Cossart, P. and Finlay, B.B. "Exploitation of mammalian host cell functions by bacterial pathogens". Science 276: 718-725.

10

2. Falkow, S., Isberg, R.R. and Portnoy D.A. (1992). "The interaction of bacteria with mammalian cells". Ann. Rev. Cell Biol. 8: 333-63.

15

3. Weinberg, A.N., "Zoonoses", in Principle and Practice of Infectious Diseases. Eds. Mandell, Douglas and Bennett, J.E. and Dolin R., pp. 291-295. Churchill Livingstone, New York, 1995.

20

4. Farber, J.M. and Peterkin, P.J. (1991). "Listeria Monocytogenes - a foodborne pathogen". Microbiol. Rev. 55: 476-511.

25

5. Thoen, C.O. (1994). "Tuberculosis in wild and domestic animals", in Tuberculosis: Pathogenesis, Protection and Control, pp. 157-62. ASM, Washington DC 20005.

30

6. von Hase, U., Pulz, M., Windorfer, A. "EHEC in Niedersachsen, Januar 1995 - August 1997". Niedersächs. Ärztebl. (1997), pp. 20-23 and 38-40.

35

7. Swaminathan B., Rocourt J., and Bille J. (1995). "Listeria", in Manual of Clinical Microbiology. Eds Murray, P.R., Baron, E.J., Pfaffer, M.A., Tenover, F.C., and Yolken, R.H., pp. 341-348. ASM Press, Washington DC,

8. Hof, H., Nichterlein, T., and Kretschmer, M. (1997). "Management of Listeriosis". Clin. Microbiol. Rev. 10: 345-357.

5 8a. Simon, S. and Stille, W., "Antibiotikatherapie".
Schattauerverlag.

9. Chakraborty, T. and Wehland, J. (1997). "The host cell
infected with *Listeria monocytogenes*", in Host Response to
10 intracellular pathogens, pp. 271-290, Ed. S.H.E. Kaufmann
R.G. Landes Co., Austin, USA.

10. Gaillard, J.L., Berche, P., Frehel, C., Gouin, E. and
Cossart, P. (1991). "Entry of *L.monocytogenes* is mediated
15 by internalin, a repeat protein reminiscent of surface
antigens from gram-positive cocci". *Cell*, 65: 1127-1141.

11. Lingnau, A., Domann, E., Hudel, M., Bock, M.,
Nichterlein, T., Wehland, J. and Chakraborty, T. (1995).
20 "Expression of inlA and inlB in *L. monocytogenes* EGD, whose
products mediate bacterial entry into tissue culture cell
lines, by PrfA-dependent and -independent mechanisms".
Infect. Immun., 63: 3896-3903.

25 12. Alvarez-Dominguez, C., Carasco-Martin, E., and Levy-
Cobian, F. (1993). "Role of complement component Clq in
phagocytosis of *L. monocytogenes* by murine macrophage-like
cell lines". *Infect. Immun.*, 61: 3664-3672.

30 13. Dunne, D.W., Resnick, D., Greenberg, J., Krieger, M.,
and Joiner, K.A. (1994) "The type I macrophage scavenger
receptor binds to Gram-positive bacteria and recognizes
lipoteichoic acid". *Proc. Natl. Acad. Sci. USA*, 91: 1863-
7.

35 14. Gaillard, J.-L., Berche, P., and Sansonetti, P.J.
(1986). "Transposon mutagenesis as a tool to study the role
of hemolysin in virulence of *Listeria monocytogenes*".
Infect. Immun., 52: 50-55.

5 15. Theriot, J.A., Rosenblatt, J., Portnoy, D.A., Goldschmidt-Clermont, P.J., and Mitchison, T.J. (1994). "Involvement of profiling in the actin-based motility of *Listeria monocytogenes* in cells and cell-free extracts". *Cell* 76: 505-517.

10 16. Chakraborty, T., Ebel, F., Domann, E., Niebuhr, K., Gerstel, B., Pistor, S., Temm-Grove, C.J., Jockush, B.M., Reinhard, M., Walter, U., and Wehland, J. (1995). "A focal adhesion factor directly linking intracellularly motile

15 15 *Listeria monocytogenes* and *Listeria ivanovii* to the actin-based cytoskeleton of mammalian cells". *EMBO J.* 14: 1314-21.

17. Vazquez-Boland, J.A., Kocks, C., Dramsi, S., Ohayon, H., Goeffroy, C., Mengaud, J., and Cossart, P. (1992). "Nucleotide sequence of the lecithinase operon of *L. monocytogenes* and possible role of lecithinase in cell-to-cell spread". *Infect. Immun.* 60: 219-30.

20 25 18. L'Hopital, S.J., Marly, J., Pardon, P., and Berche, P. (1993). "Kinetics of antibody production against listeriolysin O in sheep with listeriosis". *J. Clin. Microbiol.* 31: 1537-40.

30 35 19. Domann, E., Zechel, S., Lingnau, A., Hain, T., Darji, A., Nictherlein, T., Wehland, J., and Chakraborty, T. (1997). "Identification and characterization of a novel PrfA-regulated gene in *listeria monocytogenes* whose product, IrpA, is highly homologous to internalin proteins, which contain leucine-rich repeats". *Infect. Immun.* 65: 101-9.

40 20. Grenningloh, R., Darji, A., Wehland, J., Chakraborty, T., and Weiss, S. (1997). "Listeriolysin and IrpA are major protein target of the human humoral response against *Listeria monocytogenes*". *Infect. Immun.* 65: 3976-3980, 1997.

5

21. Shen, H., Slifka, M.K., Matloubian, M., Jensen, E.R., Ahmed, R., and Miller, J.F. (1995). "Recombinant *Listeria monocytogenes* as a live vaccine vehicle for the induction of protective anti-viral cell-mediated immunity". Proc. 10 Natl. Acad. Sci. USA 92: 3987-91.

22. Slifka, M.K., Shen, H., Matloubian, M., Jensen, E.R., Miller, J.F. and Ahmed, R. (1996). "Antiviral cytotoxic T-cell memory by vaccination with recombinant *Listeria monocytogenes*". J. Virology 70: 2902-10. 15

23. Jensen, E.R., Selvakumar, R., Shen, H., Ahmed, R., Wettstein, F.O., and Miller, J.F. (1997). "Recombinant *Listeria monocytogenes* Vaccination Eliminates 20 Papillomavirus-Induced Tumors and prevents Papilloma Formation from viral DNA". J. Virol. 71: 8467-8474.

24. Schafer, R., Portnoy, D.A., Brassell, S.A., and Paterson, Y. (1992). "Induction of a cellular immune 25 response to a foreign antigen by a recombinant *Listeria monocytogenes* vaccine". J. Immunol. 149: 53-9.

25. Ikonomidis, G., Paterson, Y., Kos, F.J., and Portnoy, D.A., (1994). "Delivery of a viral antigen to the class I 30 processing and presentation pathway by *Listeria monocytogenes*". J. Exp. Med. 180: 2209-18.

26. Frankel, F.R., Hegde, S., Lieberman, J., and Paterson, Y. (1995). "Induction of cell-mediated immune response to 35 human immunodeficiency virus type 1 Gag protein by using *Listeria monocytogenes* as a live vaccine vector". J. Immunol. 155: 4775-82.

27. Pan, Z.K., Ikonomidis, G., Lazenby, A., Pardoll, D., 40 and Paterson, Y. (1995). "A recombinant *Listeria monocytogenes* vaccine expressing a model tumour antigen

CONFIDENTIAL - 100-66666

5 protects mice against lethal tumour cell challenge and
causes regression of established tumours". *Nature Med.* 1:
471-7.

10 28. Dramai, S., Kocks, C., Forestier, C. and Cossart, P.
by *L. Monocytogenes* is regulated by bacterial growth,
temperature and the pleiotropic activator, *prfA*". *Mol.*
Microbiol. 9: 931-41.

15 29. Alvarez-Dominguez, C., Vazquez-Boland, J.A., Carrasco-
Marin, E., Lopez-Mato, P. and Leyva-Cobian, F. (1997).
"Host cell heparan sulfate proteoglycans mediate attachment
and entry of *Listeria monocytogenes*, and the listerial
surface protein *ActA* is involved in heparan sulfate
20 receptor recognition". *Infect. Immun.* 65: 78-88.

25 30. Domann, E., Wehland, J., Rohde, M., Pistor, S., Hartl,
M., Goebel, W., Leimeister-Wächter, M., Wuenscher, M., and
Chakraborty, T. (1992). "A novel bacterial virulence gene
in *L. monocytogenes* required for host microfilament
interaction with homology to the proline rich region of
vinculin". *EMBO. J.* 11: 1981-1990.

30 31. Kocks, C., Gouin, E., Tabouret, M., Berche, P.,
Ohayon, M., and Cossart, P. (1992). "Listeria monocytogenes
induced actin assembly requires the *act* gene product, a
surface protein". *Cell* 68: 521-31.

35 32. Armstrong, D., "Listeria monocytogenes" (1995) in
Principle and Practice of Infectious Diseases, Eds.
Mandell, Douglas and Bennett, J.E. and Dolin R., pp. 1880-
1885. Churchill Livingstone, New York, 1995.

40 32a. Boucher, R.C. (1996). "Current status of CF gene
therapy". *Trends in Genetics* 12: 81-84.

DRAFT COPY

5 32b. Bank, A. (1996). "Human somatic cell gene therapy".
BioEssays 18: 999-1007.

10 33. O'Callaghan, D., Maskell, d., Titi, J., Dougan, G.
(1990). "Immune responses in BALB/ C mice following
immunization with aromatic compound or purine-dependent
Salmonella typhimurium strains". Immunology 69: 184-189.

15 34. Tacket, C.O., Sztein, M.B., Losonsky, G.A., Wasserman,
S.S, Nataro, J.P., Edelman, R., Pickard, D., Dougan, G.,
Chatfiled, S., and Levine, M.M. (1997). "Safety of Live
Oral Salmonella typhi Vaccine Strains with deletions in
htrA and aroC, aroD and immune response in humans". Infect.
Immun. 65: 452-456.

20 35. Curtiss III, R. (1989). "Attenuated Salmonella strains
as live vectors for the expression of foreign antigens". In
New generation vaccines: The molecular approach (ed. M.M.
Levine and G. Woodrow), p. 161. Marcel Dekker, New York.

25 36. Hopkins, S., Kraehenbuhl, J.-P., Schödel, F., Potts,
A., Peterson, D., De Grandi, P. and Nardeli-Haeflinger, D.
(1995). "A recombinant Salmonella typhimurium vaccine
induces local immunity by four different routes of
immunization". Infect. Immun. 63: 3279-3286.

30 37. Berkmen, M., Benedik, M.J., and Blasi, U. (1997). "The
Serratia marescens NucE protein functions as a holin in
Escherichia coli". J. Bacterial. 179: 6522-6524.

35 38. Diaz, E., Munthali, M., de Lorenzo, V., and Timmis,
K.N. (1994). "Universal barrier to lateral spread of
specific genes among microorganisms". Mol. Microbiol. 13:
855-861.

40 39. Hohmann, El., Oletta, C.A., Loomis, W.P., and Miller,
S.I. (1995). "Macrophage-inducible expression of a model

CONFIDENTIAL

5 antigen in *Salmonella typhimurium* enhances
immunogeneticity". Proc. Natl. Acad. Sci. USA 92: 2904-
2908.

10 40. Park, S.F., and Stewart, G.S. (1990). "High-efficiency
transformation of *Listeria monocytogenes* by electroporation
of penicillin-treated cells". Gene 94: 129-132.

15 41. Premaratne, R.J., Lin, W.J., and Johnson, E.A. (1991),
"Development of an improved chemically defined minimal
medium for *L. monocytogenes*". Appl. Environ. Microbiol. 57:
3046-48.